Please type a plus sign (+) inside this box Π

PTO/SB/21 (6-99)

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	Under the Paperwork R	eduction Act of 1995≽no	persons are required to resp	ond to a colle	ction of information unless it displays a valid OMB control nu
		,	Application Number	er	09/991,157
. Т	RANSMITTAL	•	Filing Date		NOVEMBER 16, 2001
FORM		First Named Inven	tor	LUC DESNOYERS	
(to be used t	for all correspondence after i	nitial filing)	Group/Art Unit		1649
			Examiner Name	<u></u> .	ANDRES, JANET L.
Total Number of Pages in This Submission 30		Attorney Docket N	umber	39780-2730 P1C51	
		ENCLOSU	RES (check all that app	oly)	
Changes Affidavits Extension of Ti Information Dis Certified Copy Response to M Application Response	ched Response al With Markings Showing /declaration(s) me Request sclosure Statement of Priority Document(s) lissing Parts/ Incomplete e to Missing ler 37 CFR 53	Drawing(Licensing Petition F and Acco Petition to Provision COMBIN POWER Terminal Small En Request Remarks AUTHORIZATIO	p-related Papers Routing Slip (PTO/SB/69 Impanying Petition Disconvert to a all Application ED DECLARATION AND OF ATTORNEY (6 SET) Disclaimer tity Statement for Refund N TO CHARGE DEPOS	ID S)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information REQUEST PURSUANT TO 37 C.F.R. §1.48(a), CORRECTION OF INVENTORSHIP IN A PATENT APPLICATION, OTHER THAN A REISSUE APPLICATION ADDITIONAL ENCLOSURE(S) (PLEASE IDENTIFY BELOW): CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.48(a); STATEMENT OF OMITTED INVENTOR UNDER 37 C.F.R. §1.48(a)(2); and STAMPED RETURN POSTCARD
		SIGNATURE OF AP	PLICANT, ATTORNEY	OR AGEN	Т
Firm <i>or</i> Individual name	HELLER EHRMAN LLP 275 Middlefield Road, Me	anto Park Californi		PHNE RED : (650) 324	DY (Reg. No. 53,507) 4-7000 Facsimile: (650) 324-0638
Signature	Saphne Red	du/	a 34023 Telepilone	. (UJU) JZ4	-1000 1 desimile. (000) 324-0030
Date	OCTOBER 17, 2006	1	Customer Numbe	r: 35	489
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37 C.F.R. §1.10 on t				r for Patents	ess Mail Post Office to Addressee* service under s, PO Box 1450, Alexandria, Virginia 22313- 021 668 US
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Signature	Con			Date	OCTOBER 17, 2006

Under the Paperwork Reduction Act of 1995, no persons are required to	<i>崇</i> 게 U.S. Patent and Ti	PTO/SB/17 (12-04) Approved for use through 07/31/2006. OMB 0651-0032 rademark Office; U.S. DEPARTMENT OF COMMERCE ormation unless it displays a valid OMB control number.
FEE TRANSMITTAL	C	omplete if Known
FEE IRANSIVIII FAL	Application Number	09/991,157
for FY 2006	Filing Date	NOVEMBER 16, 2001
	First Named Inventor	LUC DESNOYERS
Effective 10/01/2003. Patent fees are subject to annual revision.	Examiner Name	ANDRES, JANET L.
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	1649

(\$) 130.00

TOTAL AMOUN	IT OF PAYMENT	(\$) 130.00	[Attorr	ey Do	cket N	10.	39780-2730 P1C51	
METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
Check Cr	edit card Money	Other None	3. A	3. ADDITIONAL FEES					
Deposit Accoun	Order Order		<u>Large</u>	Large Entity Small Entity					
Deposit Account			Fee Code		Fee Code	Fee (\$)		Fee Description	For Boid
Account	08-1641		1051	130	2051		Surch	arge - late filing fee or oath	Fee Paid
Number Deposit			1052	50	2052	25		parge - late provisional filing fee or	
Account HEI	LLER EHRMAN, LLP (397	80-2/30 P1C51)					cover	sheet .	
	orized to: (check all that a	pply)	1053	130	1053	130		English specification	
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✓ Charge any additi	ional fee(s) or any underpa	yment of fee(s)	1804	920*	1804	920*		esting publication of SIR prior to iner action	
Charge fee(s) indition to the above-identifier	icated below, except for to deposit account.	ne filing fee	1805	1,840*	1805	1,840*		esting publication of SIR after niner action	
	FEE CALCULATIO	v	1251	120	2251	60	Exter	nsion for reply within first month	
1. BASIC FILING			1252	450	2252	225	Exter	nsion for reply within second month	\vdash
Large Entity Small I			1253	1,020	2253	510	Exter	nsion for reply within third month	
Fee Fee Fee Code (\$) Code	Fee Description	Fee Paid	1254	1,590	2254	795	Exter	nsion for reply within fourth month	
	150 Utility filing fee		1255	2,160	2255	1,080	Exter	nsion for reply within fifth month	
	100 Design filing fe		1401	500	2401	250	Notic	e of Appeal	
1003 200 2003	100 Plant filing fee		1402	500	2402	250	Filing	a brief in support of an appeal	
1004 300 2004	150 Reissue filing fo	ee -	1403	1,000	2403	500	Requ	est for oral hearing	
1005 200 2005	100 Provisional filin		1451	1,510	1451	1,510	Petitio	on to institute a public use proceeding	
	SUBTOTAL (1)	(\$)	1452	500	2452	250	Petitio	on to revive - unavoidable	
			1453	1,500	2453	750	Petiti	on to revive - unintentional	
2. EXTRA CLAII	M FEES FOR UTILI	Y AND REISSUE	1501	1,400	2501	700	Utility	y issue fee (or reissue)	
T. 4-1 01-2	Extra Claims	below Fee Paid	1502	800	2502	400	Desig	gn issue fee	
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Claims Multiple Dependent	3** =		1460	130	1460	130	Petiti	ions to the Commissioner	130.00
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Large Entity Sma	<u>all Entity</u> e Fee Fee Descri	otion	1806	180	1806	180	Subm	nission of Information Disclosure Stmt	
Code (\$) Co	de (\$)		8021	40	8021	40		rding each patent assignment per erty (times number of properties)	
		laims in excess of 3	1809	790	2809	395		g a submission after final rejection CFR 1.129(a))	
1203 360 2:	203 180 Multiple deper	dent claim, if not paid	1810	790	2810	395	For e	each additional invention to be	
1204 200 2	204 100 ** Reissue ind over origina	ependent claims patent	1801	790	2801	395		nined (37 CFR 1.129(b)) sest for Continued Examination (RCE)	
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l			Other	fee (sp	ecify) _				
**or number previ	SUBTOTAL (2) iously paid, if greater; For i	(\$) Reissues, see above	*Red	uced by	Basic	Filing F	ee Pai	id SUBTOTAL (3) (\$)	130.00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

(Attorney/Agent)

53,507

(Complete (if applicable))

Date

Telephone (650) 324-7000

OCTOBER 17, 2006

SUBMITTED BY

Name (Print/Type)

Signature

DAPHNE REDDY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Luc DESNOYERS, et al.

Art Unit: 1649

Application Serial No. 09/991,1576

Filed: November 16, 2001

For: NUCLEIC ACIDS ENCODING PRO943

Examiner: Andres, Janet L.

Art Unit: 1649

Confirmation No: 4038

Attorney's Docket No. 39780-2730 P1C51

REQUEST PURSUANT TO 37 C.F.R. § 1.48(a), CORRECTION OF INVENTORSHIP IN A PATENT APPLICATION, OTHER THAN A REISSUE APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

This Request seeks to add Ellen Filvaroff as a joint inventor in the above-identified application. Ms. Filvaroff was inadvertently and without deceptive intent not included as a joint inventor in the above-identified application. Enclosed are the following documents in support of this Request:

- (a) a statement signed by Ms. Filvaroff indicating that the error in inventorship in the above-referenced application occurred without deceptive intent on her part;
- (b) a declaration by the actual inventors under 37 C.F.R. § 1.63;
- (c) the fee set forth in 37 C.F.R. § 1.17(i) (\$130.00); and
- (d) a written consent of Genentech, Inc., assignee of the entire title, right and interest in the above-captioned application.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. <u>08-1641 (Attorney's Docket No. 39780-2730 P1C51)</u>. Please direct any calls in connection with this application to the undersigned at the number provided below.

10/20/2006 AWONDAF1 00000073 081641 09991157

01 FC:1464 130.00 DA

Respectfully submitted,

Date: 10 17 06

Daphne Reddy (Reg. No. 53,507)

HELLER EHRMAN LLP

275 Middlefield Road Menlo Park, California 94025-3506

Telephone: (650) 324-7000 Facsimile: (650) 324-0638

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The assignment is recorded at Reel 012176 and Frame 0450. This assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

Dear Sir,

The undersigned hereby states that Assignee agrees to the correction of the inventorship of the above-identified patent application to include Ellen Filvaroff as a joint inventor.

Date:

By:

Mark T. Kresnak

Associate General Counsel Patent Law

Genentech, Inc.

1 DNA Way

South San Francisco, California 94080

© COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NUCLEIC ACIDS ENCODING PRO943

the specification of which (check one) is attached hereto or was filed on November 16, 2001 as Application Serial No. 09/991,157 and was amended on November 16, 2001, September 3, 2002, August 4, 2004, January 5, 2005, March 31, 2005, June 17, 2005 and January 4, 2006.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, \$119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Claimed

<u>Priority</u>

Yes No

Status: Pending

Number

Country

Day/Month/Year Filed

I hereby claim the benefit under Title 35, United States Code, \$119(e) of any United States provisional applications(s) listed below:

Application Ser. No.

60/146,222

Filing Date: Jul. 28, 1999

Application Ser. No.

60/141,037

Filing Date: Jun. 23, 1999

Application Ser. No.

60/091,673

Filing Date: Jul. 2, 1998

I hereby claim the benefit under Title 35, United States Code, \$120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, \$112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, \$1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Ser. No.	09/941,992	Filing Date	08-28-2001
Application Ser. No.	PCT/US00/08439	Filing Date	03-30-2000
Application Ser. No.	09/380,137	Filing Date	Aug. 25, 1999
Application Ser. No.	PCT/US99/12252	Filing Date	Jun. 2, 1999

25213/09157

Send correspondence to:

GINGER R. DREGER, ESQ.

Heller Ehrman, LLP 275 Middlefield Road Menlo Park, CA 94025

Telephone: (650) 324-7000 Facsimile: (650) 324-0638

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

actorney or agent named herein will be so nothled by the undersigned.			
Full name of sole or first inventor: LUC DESNOYERS			
In Dun.		09/14/2006	
Invertor's signature	Date		
Residence: 2050 Stockton Street, San Francisco, CA 94133			
Citizenship: CA			. •
Post Office Address 1 DNA Way South San Francisco, CA 94080			
Full name of second joint inventor, if any: ELLEN FILVAROFF			
Inventor's signature	Date		
Residence: 538 18 th Avenue, San Francisco, CA 94121			
Citizenship: US			
Post Office Address 1 DNA Way South San Francisco, CA 94080			

Full name of third joint inventor, if any: AUDREY GODDARD		
		
Inventor's signature	Date	
		• .
Residence: 110 Congo Street, San Francisco, CA 94131		·
Citizenship: CA		
Day 000 - A 11		
Post Office Address 1 DNA Way		
South San Francisco, CA 94080		
Full name of fourth joint inventor, if any: PAUL J. GODOWSKI		
<u></u>		
Inventor's signature	Date	
Residence: 25 Orange Court, Hillsborough, CA 94010		
Citizenship: US		
	•	
Post Office Address 1 DNA Way		
South San Francisco, CA 94080		
Full name of fifth joint inventor, if any: AUSTIN GURNEY		
Inventor's signature	Date	
Residence: 946 Diamond Street, San Francisco, CA 94114		
		·
Citizenship: US		
Post Office Address		
946 Diamond Street San Francisco, CA 94114		
,	•	

غياد

Full name of sixth joint inventor, if any: WILLIAM I. WOOD					
Inventor's signature	Date				
Residence: 35 Southdown Court, Hillsborough, CA 94010					
Citizenship: US					

In re application of: Luc DESNOYERS, et al. Application Serial No. 09/991, 1972 Application Serial No. 09/991, 1972 Application Serial No. 09/991, 1972 Application No: 4038 Filed: November 16, 2001 For: NUCLEIC ACIDS ENCODING PRO943 Customer No. 35489

STATEMENT OF OMITTED INVENTOR UNDER 37 C.F.R. § 1.48(a)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

I, Ellen Filvaroff, hereby state that I was omitted as an inventor in the above application. I further state that this omission is due to an error which occurred without any deceptive intention on my part.

Respectfully submitted,

Date: 9 | 18 | 18 |

Fllen Filvaroff



COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NUCLEIC ACIDS ENCODING PRO943

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I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority

Claimed

Yes No

Status: Pending

Number Country Day/Month/Year Filed

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Filing Date: Jul. 28, 1999

Application Ser. No.

60/141,037

Filing Date: Jun. 23, 1999

Application Ser. No.

60/091,673

Filing Date: Jul. 2, 1998

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Application Ser. No.	PCT/US99/12252	Filing Date	Jun. 2, 1999

25213/09157

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Telephone: (650) 324-7000 Facsimile: (650) 324-0638

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: LUC DESNOYERS	
Inventor's signature	Date
Residence: 2050 Stockton Street, San Francisco, CA 94133	
Citizenship: CA	•
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of second joint inventor, if any: ELLEN FILVAROFF	
Inventor's signature	Date
Residence: 538 18 th Avenue, San Francisco, CA 94121	
Citizenship: US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of sixth joint inventor, if any: WILLIAM I. WOOD

Inventor's signature

Date

Residence: 35 Southdown Court, Hillsborough, CA 94010

Citizenship: US

COMBINED DECLARATION FOR PATENT APPLICATION
AND POWER OF ATTORNEY

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Claimed

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Status: Pending

<u>No</u>

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Telephone: (650) 324-7000 Facsimile: (650) 324-0638

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Inventor's signature	Date	
Residence: 2050 Stockton Street, San Francisco, CA 94133		
Citizenship: CA		·.
Post Office Address		
1 DNA Way South San Francisco, CA 94080		
Full name of second joint inventor, if any: ELLEN FILVAROFF		
Inventor's signature	Date	
Residence: 538 18 th Avenue, San Francisco, CA 94121		
Citizenship: US		
Post Office Address 1 DNA Way		
South San Francisco, CA 94080		

Full name of third joint inventor, if any: AUDREY GODDARD		
A Loddaed	9	1/27/06
Inventor's signature	Date	
Residence: 1 10 Congo Street, San Francisco, CA 94131. 72 Merced Ave. San Francisco CA 9	4127	
Citizenship: CA		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of fourth joint inventor, if any: PAUL J. GODOWSKI		
Inventor's signature	Date	
Residence: 25 Orange Court, Hillsborough, CA 94010	,	
Citizenship: US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of fifth joint inventor, if any: AUSTIN GURNEY		
Inventor's signature	Date	
Residence: 946 Diamond Street, San Francisco, CA 94114		
Citizenship: US	•	
Post Office Address 946 Diamond Street San Francisco, CA 94114		

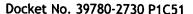
Full name of sixth joint inventor, if any:	WILLIAM I. WOOD
--	-----------------

Inventor's signature

Date

Residence: 35 Southdown Court, Hillsborough, CA 94010

Citizenship: US





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Filing Date

08-28-2001

Application Ser. No.

PCT/US99/12252

Filing Date

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25213/09157

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Heller Ehrman, LLP 275 Middlefield Road Menlo Park, CA 94025

Telephone: (650) 324-7000 Facsimile: (650) 324-0638

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: LUC DESNOYERS			
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Inventor's signature	Date		
Residence: 2050 Stockton Street, San Francisco, CA 94133			
Citizenship: CA			·
Post Office Address 1 DNA Way South San Francisco, CA 94080			
Full name of second joint inventor, if any: ELLEN FILVAROFF			
Inventor's signature	Date		
Residence: 538 18 th Avenue, San Francisco, CA 94121			
Citizenship: US		*.	
Post Office Address 1 DNA Way South San Francisco, CA 94080			

Full name of third joint inventor, if any: AUDREY GODDARD	
Inventor's signature	Date
Residence: 110 Congo Street, San Francisco, CA 94131	
Citizenship: CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fourth joint inventor, if any: PAUL J. GODOWSKI Inventor's signature	9/12/06 Date
Residence: 25 Orange Court, Hillsborough, CA 94010	
Citizenship: US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifth joint inventor, if any: AUSTIN GURNEY	
Inventor's signature	Date
	Date
Residence: 946 Diamond Street, San Francisco, CA 94114	
Citizenship: US	
Post Office Address 946 Diamond Street San Francisco, CA 94114	

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Full name of sixth joint inventor, if any: WILLIAM I. WOOD		
Inventor's signature	Date	
Posidonese 25 Southdown Court Hillshorough CA 94040		

Citizenship: US





COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NUCLEIC ACIDS ENCODING PRO943

the specification of which (check one) is attached hereto or was filed on November 16, 2001 as Application Serial No. 09/991,157 and was amended on November 16, 2001, September 3, 2002, August 4, 2004, January 5, 2005, March 31, 2005, June 17, 2005 and January 4, 2006.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, \$119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority

No

Claimed

Yes

Status: Pending

Number

Country

Day/Month/Year Filed

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

Application Ser. No.

60/146,222

Filing Date: Jul. 28, 1999

Application Ser. No.

60/141,037

Filing Date: Jun. 23, 1999

Application Ser. No.

60/091,673

Filing Date: Jul. 2, 1998

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Ser. No.	09/941,992	Filing Date	08-28-2001
Application Ser. No.	PCT/US00/08439	Filing Date	03-30-2000
Application Ser. No.	09/380,137	Filing Date	Aug. 25, 1999
Application Ser. No.	PCT/US99/12252	Filing Date	Jun. 2. 1999

25213/09157

Send correspondence to:

GINGER R. DREGER, ESQ.

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Citizenship: US			÷ . •
Post Office Address 946 Diamond Street San Francisco, CA 94114			

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Full name of sixth joint inventor, if any: WILLIAM I. WOOD

OCT 17 2006 83

Date

Inventor's signature

Residence: 35 Southdown Court, Hillsborough, CA 94010

Citizenship: US





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Residence: 946 Diamond Street, San Francisco, CA 94	§1114			
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Residence: 35 Southdown Court, Hillsborough, CA 94010

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Date